

COMMON APPLICATION FORM

For first time investors for Lumpsum Investments / SIP Investments / Zero Balance folio registration.

(PLEASE READ THE INSTRUCTIONS BEFORE FILLING UP THE FORM. ALL SECTIONS TO BE COMPLETED IN ENGLISH IN BLACK/BLUE COLOURED INK AND IN BLOCK LETTERS)

Distributor
ARN

Sub-Distributor
ARN

Internal Sub-Broker/
Sol ID



Application No.

EUIN

Employee
Code

RIA CODE ^

Serial No., Date & Time Stamp

Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investor's assessment of various factors including the service rendered by the distributor. ^ I/We, have invested in the scheme(s) of Axis Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all schemes of Axis Mutual Fund, to the above mentioned SEBI Registered Investment Adviser.

☐ "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

You/ Sole Applicant /Guardian	Second Applicant	Third Applicant	Power of Attorney Holder
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TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY (Refer Instruction No. 20)

☐ I confirm that I am a first time investor across Mutual Funds. **OR** ☐ I confirm that I am an existing investor across Mutual Funds.

In case the subscription amount is ₹ 10,000 or more and your Distributor has opted to receive Transaction Charges, the same are deductible as applicable from the purchase/subscription amount and payable to the Distributor. Units will be issued against the balance amount invested.

EXISTING INVESTOR'S FOLIO NUMBER (If you have an existing folio with KYC validated, please mention here and skip to section 4)

MODE OF HOLDING (in case of Demat Purchase Mode of Holding should be same as in Demat Account)

Unit Holding Option

Folio number

☐ Single ☐ Joint (Default)
☐ Anyone or Survivor

☐ Demat Mode ☐ Physical Mode
(in case of Demat, please fill sec 6)

1. YOUR PERSONAL DETAILS (MANDATORY) (In case of investment "On behalf of minor", Please refer instruction No. 11)

First Applicant	Mr. Ms. M/s.	FIRST APPLICANT										Gender	☐ M ☐ F ☐ O
PAN (Mandatory)		Aadhaar No. (Optional)											
DOB	D D M M Y Y Y Y	CKYC No. (Optional)											
Address													
City													
State													
Pincode													
Mobile													
Email ID*													
Occupation Details	☐ Pvt. Sector Service ☐ Public Sector Service ☐ Govt. Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired ☐ Housewife ☐ Forex Dealer ☐ Student ☐ Others Specify												
Gross Annual Income (₹)	☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ 25 Lacs - 1 Crore ☐ > 1 Crore Net worth (Mandatory for Non - Individuals) ₹ as on D D M M Y Y Y Y												

*Email id belongs to Self ☐ / Family Member ☐ (Refer Instruction No. 25)

☐ I / we hereby prefer to 'OPT-IN' to receive physical copies of scheme Annual Report or Abridged summary.

BANK ACCOUNT DETAILS FOR PAYOUT (Please note that as per SEBI Regulations it is mandatory for investors to provide their bank account details. Refer Instruction No. 6)

Name of the bank	
Branch Address	
City	
State	
Pincode	
Account No.	
Account type	☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR ☐ Others Specify
IFSC code (11 digit)	
MICR Code (9 digit)	

Second Applicant	Mr. Ms. M/s.	SECOND APPLICANT	Gender	☐ M ☐ F ☐ O
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PAN (Mandatory)		Aadhaar No. (Optional)	
DOB	D D M M Y Y Y Y	CKYC No. (Optional)	1 4 d i g i t C K Y C N u m b e r

Address	
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City		State		Pincode	
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Occupation Details	☐ Pvt. Sector Service ☐ Public Sector Service ☐ Govt. Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired ☐ Housewife ☐ Forex Dealer ☐ Student ☐ Others Specify
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Gross Annual Income (₹)	☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ 25 Lacs - 1 Crore ☐ > 1 Crore
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Third Applicant	Mr. Ms. M/s.	THIRD APPLICANT	Gender	☐ M ☐ F ☐ O
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PAN (Mandatory)		Aadhaar No. (Optional)	
DOB	D D M M Y Y Y Y	CKYC No. (Optional)	1 4 d i g i t C K Y C N u m b e r

Address	
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City		State		Pincode	
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Occupation Details	☐ Pvt. Sector Service ☐ Public Sector Service ☐ Govt. Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired ☐ Housewife ☐ Forex Dealer ☐ Student ☐ Others Specify
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Gross Annual Income (₹)	☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ 25 Lacs - 1 Crore ☐ > 1 Crore
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GUARDIAN DETAILS (In case First / Sole Applicant is minor) / **CONTACT PERSON - DESIGNATION** / **PoA HOLDER** (In case of Non-individual Investors)

Mr. Ms. M/s.	GUARDIAN	Gender	☐ M ☐ F ☐ O
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PAN (Mandatory)		Aadhaar No. (Optional)	
DOB	D D M M Y Y Y Y	CKYC No. (Optional)	1 4 d i g i t C K Y C N u m b e r

Address	
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City		State		Pincode	
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Occupation Details	☐ Pvt. Sector Service ☐ Public Sector ☐ Govt. Service ☐ Business ☐ Professional ☐ Agriculture ☐ Retired ☐ Housewife ☐ Forex Dealer ☐ Student ☐ Others Specify
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Gross Annual Income (₹)	☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ 25 Lacs - 1 Crore ☐ > 1 Crore
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Relationship Of Guardian (Refer Instruction No. 11)	☐ Mother ☐ Father ☐ Court Appointed Guardian
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Email ID	
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Proof of the Relationship with Minor	☐ Birth Certificate ☐ School Certificate ☐ Passport ☐ Others Specify
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TAX STATUS (Applicable for First / Sole Applicant)	☐ Resident Individual ☐ FIs ☐ NRI-NRO ☐ HUF ☐ Club / Society ☐ PIO ☐ Body Corporate ☐ Minor ☐ Government Body ☐ Trust ☐ NRI - NRE ☐ Bank & FI ☐ Sole Proprietor ☐ Partnership Firm ☐ QFI ☐ Provident Fund ☐ Others Specify
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For Individuals	For Non-Individual Investors (Companies, Trust, Partnership etc.)
☐ I am a Politically Exposed Person	Is the company a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company: (If No, please attach mandatory UBO Declaration) ☐ Yes ☐ No
☐ I am related to a Politically Exposed Person	Foreign Exchange / Money Charger Services ☐ Yes ☐ No
☐ I am not related to Politically Exposed Person	Gaming / Gambling / Lottery / Casino Services ☐ Yes ☐ No
	Money Lending / Pawning ☐ Yes ☐ No

2. FATCA AND CRS DETAILS FOR INDIVIDUALS (Including Sole Proprietor, Refer Instruction No. 23)FOR RESIDENT
INDIANS

The below information is required for all applicants/guardian.

	Place / City of Birth	Country of Birth	Country of Citizenship / Nationality
First Applicant / Guardian			☐ Indian ☐ U.S. ☐ Others _____
Second Applicant			☐ Indian ☐ U.S. ☐ Others _____
Third Applicant			☐ Indian ☐ U.S. ☐ Others _____

FOR NON-RESIDENT
INDIANS**Are you a tax resident (i.e., are you assessed for tax) in any other country outside India?**If 'YES' please fill for ALL countries (other than India) in which you are a Resident for tax purpose
i.e. where you are a Citizen / Resident / Green Card Holder / Tax Resident in the respective countries.☐ Yes ☐ No

	Country of Tax Residency	Tax Identification Number or Functional Equivalent	Identification Type (TIN or other please specify)	Address Type
First Applicant / Guardian				☐ Resi ☐ Regd. Office ☐ Business
Second Applicant				☐ Resi ☐ Regd. Office ☐ Business
Third Applicant				☐ Resi ☐ Regd. Office ☐ Business

Overseas Address

		City		
State		Country		Zipcode

For Non Individual investors Annexure I and Annexure II are available on the website of AMC i.e. www.axismf.com or at the Investor Service Centres (ISCs) of Axis Mutual Fund**3. NOMINATION DETAILS** (Mandatory) (Refer Instruction No. 18)

Sr. No.	Nominee Name	PAN	Allocation (%)	Relationship with Investor	Nominee date of birth	Guardian Name (in case of Minor)	Guardian Signature
1					D D M M Y Y		
2					D D M M Y Y		
3					D D M M Y Y		

☐ I/We DO NOT wish to nominate and sign here

You/ Sole Applicant

Second Applicant

Third Applicant

4. INVESTMENT DETAILS (For multiple schemes ref instruction no. 22) (Investors applying under Direct Plan must select "DIRECT" against scheme name, Refer Instruction No. 2.)

Sr. No.	Scheme	Plan	Option	Amount
1		☐ Direct ☐ Regular		
2		☐ Direct ☐ Regular		
3		☐ Direct ☐ Regular		
Total	In words			In figures

Mode	☐ Cheque	☐ DD	☐ Axis Bank Debit Mandate (Please fill section 9.)	Date	D	D	M	M	Y	Y	Y	Y	Cheque / DD No.							
Amount (in figures)					(in words)															
Pay-in A/c No.																				
Account type	☐ Savings	☐ Current	☐ NRE	☐ NRO	☐ FCNR	☐ Others	Specify													
IFSC code (11 digit)											MICR Code (9 digit)									
Drawn on bank / branch name & address																				

(Please ensure that the sequence of names as mentioned in the application form matches with that of the A/c held with the depository participant) Refer Instruction No. 19.

7. DECLARATION AND SIGNATURE

I/We confirm that I/We do not have any existing Micro SIP/Lumpsum investments which together with the current application will result in aggregate investments exceeding ₹ 50,000 in a year (Applicable for Micro investment only.) with your fund house. For NRIs only - I / We confirm that I am/ we are Non Residents of Indian nationality/origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/ our Non Resident External / Non Resident Ordinary / FCNR account. I/We confirm that details provided by me/us are true and correct.

AADHAAR DECLARATION: I/ We hereby provide my/our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage (ii) validating/authenticating and (ii) updating my/ our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA. I/ We hereby provide my/our consent for sharing/disclosing of the Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund (s) and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my/our folios with my PAN.

Date	D	D	M	M	Y	Y	Y	Y	Place	
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- ☐ KYC acknowledgement letter (Compulsory for MICRO Investments)
- ☐ Self attested PAN card copy
- ☐ Plan / Option / Sub Option name mentioned in addition to scheme name
- ☐ Multiple Bank Accounts Registration form (if you want to register multiple bank accounts so that future payments can be made from any of the accounts)
- ☐ Email id and mobile number provided for online transaction facility
- ☐ SIP Registration Form for SIP investments
- ☐ Relationship proof between guardian and minor (if application is in the name of a minor)
- ☐ FATCA Declaration
- ☐ Additional documents attached for Third Party payments. Refer instruction No. 7.



**Axis MF
IFA
CONNECT**

Risk Managed Products

I/ We	Name of the account holder(s)	Application No.
authorise you to debit my/our account no.		
Account type ☐ Savings ☐ NRO ☐ NRE ☐ Current ☐ FCNR ☐ Others Specify to pay for the purchase of		
☐ Axis Banking & PSU Debt Fund ☐ Axis Dynamic Bond Fund ☐ Axis Credit Risk Fund ☐ Axis Strategic Bond Fund ☐ Axis Gilt Fund ☐ Axis Treasury Advantage Fund ☐ Axis Short Term Fund ☐ Axis Liquid Fund ☐ Axis Corporate Debt Fund ☐ Axis Ultra Short Term Fund ☐ Axis Overnight Fund		
☐ Axis MF Multiple Schemes OR ☐ Axis MF Multiple Schemes		
Amount (in words) (in Figures)		
Signature of First Account Holder	Signature of Second Account Holder	Signature of Third Holder
Date D D M M Y Y Y Y		

From					Application No.
Cheque No.	Date	Amount	Scheme	Stamp & Signature	